

Atom Transfer Radical Polymerization in Supercritical Carbon Dioxide

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ABSTRACT: Atom transfer radical polymerization (ATRP) has been successfully carried out in supercritical carbon dioxide (scCO₂) for the polymerization of fluorinated (meth)acrylates. In particular, well-controlled polymerizations have been obtained with the use of a fluoroalkyl-substituted 2,2'-bipyridine ligand. Block copolymers comprised of fluorinated (meth)acrylates and poly(MMA) (MMA = methyl methacrylate) or poly(DMAEMA) (DMAEMA = 2-(dimethylamino)ethyl methacrylate) were produced in scCO₂ by ATRP. In addition, the dispersion polymerization of MMA using ATRP in scCO₂ in the presence of a fluorinated polymeric surfactant stabilizer was successfully carried out to yield poly(MMA) latex particles with controlled molecular weight and a narrow molecular weight distribution.

Living polymerizations allow for the synthesis of well-defined and complex macromolecular architectures.¹ Currently, controlled/"living" radical polymerization has attracted much attention since radical polymerization is generally more tolerant toward polar functionalities and impurities than ionic and coordination polymerizations.² Among the approaches developed, transition-metal-catalyzed atom transfer radical polymerization (ATRP) has been extensively studied. By employing alkyl-substituted 2,2'-bipyridines (bpy), homogeneous ATRP was achieved which resulted in well-controlled polymerization for a variety of monomers.^{2,3} Under these conditions, the degree of polymerization (DP) was predetermined by the ratio of the change in monomer concentration and initial initiator concentration ($DP_n = \Delta[\text{monomer}]/[\text{initiator}]_0$), and low polydispersities ($M_w/M_n < 1.1$) were obtained.^{3,4}

Recently, the use of supercritical carbon dioxide (scCO₂) as a polymerization medium has attracted considerable interest. In addition to being an environmentally benign alternative to volatile organic and aqueous solvents, scCO₂ offers several advantages as a solvent, e.g., low solution viscosity, an effectively inert solution medium (no detectable chain transfer to solvent), and tunable solvent strength. Previous work has shown that CO₂ is an excellent medium for performing radical polymerizations. Solution, dispersion, precipitation, and emulsion radical polymerizations have been successfully performed in scCO₂.⁵

There have been very few reports about living polymerizations carried out in CO₂. DeSimone et al. reported the "living" carbocationic polymerization of vinyl ethers in CO₂.⁶ High polydispersities were obtained for the polymerization of isobutyl vinyl ether largely due to the heterogeneous nature of the polymerization system. The lowest polydispersity ($M_w/M_n = 1.6$) was obtained when a vinyl ether bearing a fluorinated side chain was polymerized. Odell et al. recently reported the controlled radical polymerization of styrene in scCO₂ using the

2,2,6,6-tetramethylpiperidine-*N*-oxyl (TEMPO)-mediated stable free radical polymerization (SFRP) process.⁷ The polymerizations were carried out at high temperature (125 °C). When the concentration of styrene was low (10% of the reactor volume), polystyrene was produced at low conversion and had a molecular weight (M_n) of about 3000 and $M_w/M_n < 1.3$.

As part of the effort toward applying ATRP in a more environmentally friendly medium, in this paper we report our initial work on controlled/"living" radical polymerization carried out in CO₂. The polymerization of fluorinated (meth)acrylates, the subsequent synthesis of block copolymers, and the dispersion polymerization of methyl methacrylate (MMA) by ATRP in scCO₂ are presented.

On the basis of the solubility in CO₂, polymers can be categorized into either "CO₂-philic" (amorphous fluoropolymers and silicone polymers) or "CO₂-phobic" materials (conventional organic polymers, either hydrophilic or lipophilic).⁸ We first chose to polymerize two fluorinated monomers, 1,1-dihydroperfluorooctyl methacrylate (FOMA) and 1,1-dihydroperfluorooctyl acrylate (FOA), by ATRP as both the monomers and the resultant polymers are soluble in scCO₂. The structures of the two fluorinated monomers and the three ligands used in this study are shown in Figure 1.

The effect of using different ligands in the copper-mediated ATRP of FOMA in scCO₂ was studied, and the results are summarized in Table 1.⁹ Cu(0) was added in the polymerization to accelerate the reaction.¹⁰ The highest yield and best agreement between the measured molecular weights and the calculated values were obtained when 4,4'-di(tridecafluoro-1,1,2,2,3,3-hexahydrononyl)-2,2'-bipyridine (dR₆bpy) was used as the ligand.¹¹ We attribute this to the enhanced catalyst solubility in scCO₂. The high-pressure polymerizations were carried out in a view cell equipped with sapphire windows to visually observe the phase behavior during the polymerization. When bpy or 4,4'-di(5-nonyl)-2,2'-bipyridine (dNbpy) was used as the ligand, the catalyst appeared as a brown oil on the interior walls and windows of the view cell, and the view cell was transparent with light yellow color. In contrast, with dR₆bpy

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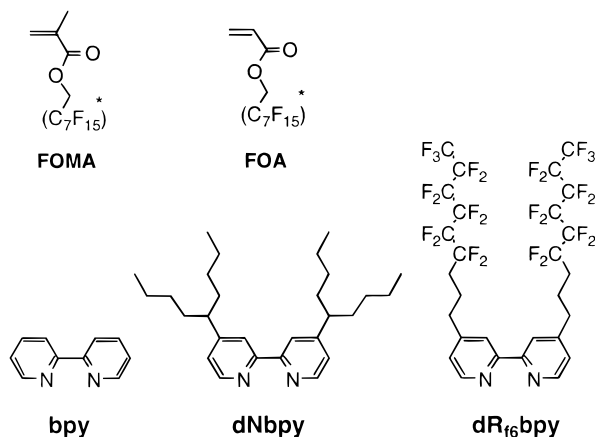


Figure 1. Structures of the fluorinated monomers (FOMA and FOA) and the ligands (bpy, dNbpy, and dR₆bpy) used in the study of copper-mediated ATRP in scCO₂. *Note: the fluorinated alkyl chain contained ca. 25% CF₃ branches.

Table 1. Effect of Ligands on Copper-Mediated ATRP of FOMA in ScCO₂

ligand ^a	conv ^b (%)	$M_{n,Cal}$ ^c	$M_{n,NMR}$ ^d	$M_{w,LS}$ ^e
bpy ^f	54	11 000	N/A ^g	65 000
dNbpy ^h	64	13 000	21 800	18 000
dR ₆ bpy ⁱ	83	16 800	19 000	17 000

^a All polymerizations were conducted at 85 °C under a pressure of 4900 psi. [FOMA]₀ = 1.40 M; [FOMA]₀/[MBP]₀ = 42 (MBP = methyl 2-bromopropionate). ^b Conversions were obtained gravimetrically after purification and drying of the synthesized polymers. ^c Calculated according to the following equation: $M_{n,Cal} = ([M]_0/[In]_0) \times (MW)_0 \times \text{conversion} + MW_{MBP}$, where $[M]_0$ and $[In]_0$ represent the initial concentrations of monomer and initiator, $(MW)_0$ is the molecular weight of the monomer, and MW_{MBP} is the molecular weight of the initiator. ^d Determined by ¹H NMR. ^e Determined by light scattering with Vertrel as the solvent and $dn/dc = 0.18$ (estimated value). ^f [MBP]₀/[Cu(0)]₀/[CuCl]₀/[bpy]₀ = 1/0.5/0.5/2 for 17 h. ^g End group was not detectable by ¹H NMR. ^h [MBP]₀/[Cu(0)]₀/[CuCl]₀/[dNbpy]₀ = 1/0.5/0.5/1 for 18 h. ⁱ [MBP]₀/[Cu(0)]₀/[CuCl]₀/[dR₆bpy]₀ = 1/0.5/0.5/1 for 18 h.

as the ligand, the whole polymerization medium appeared dark brown with no visible precipitation. Analogous to previous results where a homogeneous ATRP system resulted in polymers with lower polydispersities,³ greater solubility of the catalyst in scCO₂ yielded better controlled polymerizations. It should be noted that, for the dR₆bpy ligand, the presence of a propylene spacer between the strong electron-withdrawing perfluorinated chain and the pyridine ring serves to minimize any unfavorable electronic effects.¹²

To examine the "living" nature of the polymerization, poly(FOMA-*b*-MMA)¹³ and poly(FOMA-*b*-DMAEMA) block copolymers were synthesized in scCO₂ by the polymerization of MMA or 2-(dimethylamino)ethyl methacrylate (DMAEMA) using the above poly(FOMA) as a macroinitiator. In all cases, dR₆bpy was used as the ligand to gain better control of the polymerization. All the polymers were characterized by ¹H NMR after purification by Soxhlet extraction with THF. Figure 2A shows the ¹H NMR spectrum of the poly(FOMA) obtained by ATRP in scCO₂. The signal at 4.45 ppm corresponds to the ester methylene protons of poly(FOMA), and the resonance at 3.60 ppm corresponds to the ester methyl protons originating from the initiator (methyl 2-bromopropionate). The degree of polymerization (DP) for poly(FOMA) was determined from the peak integration ratio of the methylene protons to the methyl ester protons (*a/b*) (Table 2). Parts B and C of Figure 2

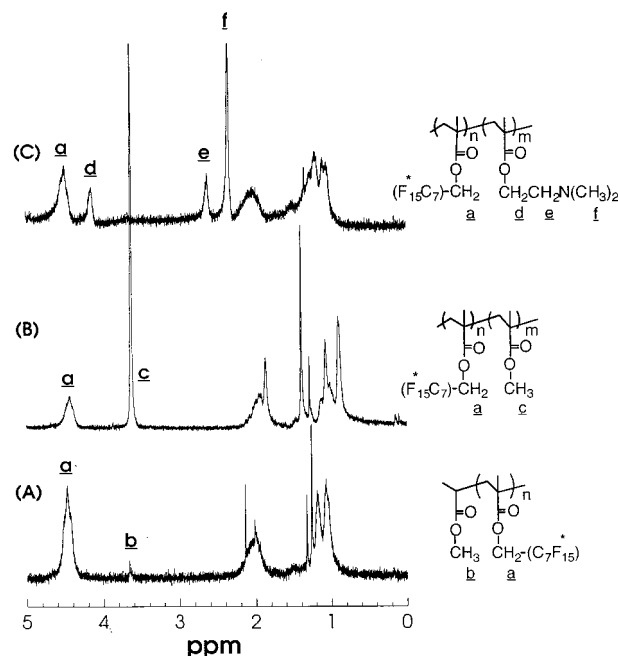


Figure 2. ¹H NMR spectra of (A) poly(FOMA), (B) poly(FOMA-*b*-MMA), and (C) poly(FOMA-*b*-DMAEMA) synthesized by ATRP in scCO₂. For the synthesis of the poly(FOMA) macroinitiator: [FOMA]₀ = 1.40 M; [FOMA]₀/[MBP]₀ = 42; [MBP]₀/[Cu(0)]₀/[CuCl]₀/[dR₆bpy]₀ = 1/0.5/0.5/1.0; 85 °C and 4900 psi for 16 h. For the synthesis of poly(FOMA-*b*-MMA): [MMA]₀ = 1.03 M; [MMA]₀/[poly(FOMA)]₀ = 78; [poly(FOMA)]₀/[Cu(0)]₀/[CuBr]₀/[dR₆bpy]₀ = 1/1.2/1.2/2.4; 85 °C and 4900 psi for 14 h; MMA conversion = 64%. For the synthesis of poly(FOMA-*b*-DMAEMA): [DMAEMA]₀ = 0.47 M; [DMAEMA]₀/[poly(FOMA)]₀ = 47; [poly(FOMA)]₀/[Cu(0)]₀/[CuBr]₀/[dR₆bpy]₀ = 1/1/1/2; 85 °C and 4900 psi for 17 h; DMAEMA conversion = 61%.

Table 2. Synthesis of PFOMA Block Copolymers by Copper-Mediated ATRP in ScCO₂ and Their Solubility Studies^a

second block	$M_{n,Cal}$	$M_{n,NMR}$	mol (%)	solubility in CO ₂ ^b		
				25 °C, 1800 psi	65 °C, 3200 psi	65 °C, 5000 psi
poly(MMA)	5000	6400	61	I	I-C	I-C
PDMAEMA	4500	2800	31	C	C	S

^a The PFOMA macroinitiator had $M_{n,NMR} = 19 000$. See Figure 2 for reaction conditions. ^b 4% (w/v) polymer; I = insoluble; C = cloudy; S = soluble.

show the ¹H NMR spectra of the poly(FOMA-*b*-MMA) and poly(FOMA-*b*-DMAEMA) block copolymers, respectively. The methyl ester group assigned to the MMA repeating unit was observed at 3.60 ppm (Figure 2B). The resonances originating from the DMAEMA repeating unit were seen at 4.20, 2.65, and 2.35 ppm, corresponding to the methylene protons adjacent to the ester (CO₂CH₂CH₂NMe₂), the methylene protons adjacent to the amine (CO₂CH₂CH₂NMe₂), and the methyl substituents on the nitrogen (CO₂CH₂CH₂NMe₂), respectively (Figure 2C). On the basis of the ratio of the area of the two peaks (*d/a* or *d/a*) and the molar mass of poly(FOMA), the chemical compositions and the molar masses were calculated and are summarized in Table 2. In all cases, measured molecular weights by ¹H NMR ($M_{n,NMR}$) were close to the calculated values ($M_{n,Cal}$), indicating that controlled polymerizations were achieved using ATRP in scCO₂.

Table 2 also shows the solubilities of the block copolymers in CO₂ after isolation and purification.

Although the poly(FOMA) macroinitiator was soluble in CO₂, the poly(FOMA-*b*-MMA) block copolymer was insoluble in CO₂, and the CO₂ phase became cloudy only at higher temperature and pressure. In contrast, the poly(FOMA-*b*-DMAEMA) block copolymer was initially poorly soluble in CO₂, but as temperature and pressure were increased, the block copolymer became soluble in CO₂, displaying a clear solution.

The differential scanning calorimetry (DSC) thermogram of the poly(FOMA-*b*-MMA) block copolymer displayed two glass transition temperatures, suggesting microphase separation in the solid state. T_g^1 (48.0 °C) corresponded to the glass transition of the poly(FOMA) microdomains, and T_g^2 (123.7 °C) corresponded to the glass transition of the poly(MMA) microdomains.

Similarly, the polymerization of FOA (Figure 1) by ATRP in scCO₂ was carried out. Using a difunctional initiator, 1,2-bis(bromopropionyloxy)ethane, poly(FOA) with $M_{n,NMR} = 12\,000$ was obtained. Subsequent polymerization of MMA in scCO₂ using this poly(FOA) as a macroinitiator afforded a block copolymer where the MMA segment had $M_{n,NMR} = 4900$. The structure of the block copolymer is currently under investigation. In general, ABA type triblock copolymers containing a fluorinated center block are of interest as they could potentially be used as fluorinated thermoplastic elastomers.

In contrast to "CO₂-philic" polymers, conventional polymers, such as poly(MMA), have very limited solubilities in scCO₂. Among the heterogeneous polymerization techniques, dispersion polymerization has proven very useful for CO₂-based systems.⁵ In dispersion polymerization, latex particles are formed in the presence of a suitable stabilizer from an initially homogeneous reaction mixture.¹⁴ Particles typically range in size from 1 to 50 μm. Previous work has shown that poly(FOA) is an effective stabilizer for the poly(MMA)-CO₂ system.¹⁵ Thus, the dispersion polymerization of MMA by ATRP in scCO₂ was carried out using low molecular weight poly(FOA) as the steric stabilizer. The precipitation polymerization of MMA in scCO₂ by ATRP under similar conditions in the absence of the stabilizer was also carried out. All polymerizations were initially homogeneous, as the MMA monomer is soluble in scCO₂ under the reaction conditions. In the absence of the poly(FOA) stabilizer, the forming poly(MMA) precipitated out of the CO₂ phase, and limited conversion (conversion = 55% and $M_{n,SEC} = 12\,000$) was obtained. In contrast, the dispersion ATRP of MMA conducted in the presence of the stabilizer started homogeneously and became progressively more cloudy and formed a kinetically stable colloidal dispersion after ca. 4 h. The resulting product was easily isolated as a free-flowing powder after venting of the CO₂ into methanol. The obtained poly(MMA) had a measured molecular weight ($M_{n,SEC} = 13\,400$) close to the calculated value and relatively narrow molecular weight distribution, $M_w/M_n = 1.41$.

Scanning electron microscopy (SEM) was used to determine the morphology of the poly(MMA) synthesized by ATRP in scCO₂. Particles made by dispersion polymerization were more spherical than those made by precipitation polymerization but were somewhat coagulated. In previous work, it has been determined that low molecular weight poly(FOA) as a polymeric stabilizer for poly(MMA) results in more coagulation and less single particle formation. However, the use of high molecular weight poly(FOA) produces more uni-

form particles. We are currently investigating the use of high molecular weight poly(FOA) with this system to examine the effect on particle formation.

In conclusion, ATRP has been successfully carried out in scCO₂ for the polymerization of both FOMA and FOA. Using the poly(FOMA) prepared in scCO₂ as a macroinitiator, block copolymers were prepared by the ATRP of either MMA or DMAEMA in scCO₂. Similarly, a triblock copolymer of poly(MMA-*b*-FOA-*b*-MMA) was prepared by ATRP using a difunctional poly(FOA) macroinitiator. In addition, the dispersion polymerization of MMA in scCO₂ using ATRP in the presence of a fluorinated polymeric surfactant was successfully performed to yield stable poly(MMA) latex particles with controlled molecular weights and narrow molecular weight distribution. The use of ATRP in scCO₂ for the synthesis of other well-defined polymers is in progress.

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Supporting Information Available: DSC thermogram of poly(FOA-*b*-MMA), table of poly(MMA) made by dispersion and precipitation ATRP in scCO₂, and SEM micrograph of poly(MMA) particles made by dispersion ATRP. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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- (11) The dR₆bpy ligand was synthesized as follows. To a stirred solution of dry THF (4.4 mL) and diisopropylamine (0.44 mL) under Ar at -78°C was added dropwise *n*-butyllithium (2.5 M in hexane, 1.2 mL). After 15 min, the solution was warmed to 0°C where it was allowed to stir for 15 min. The mixture was cooled to -78°C again, and a solution of 4,4'-dimethyl-2,2'-bipyridine (250 mg) in dry THF (10 mL) was added slowly. After 3 h, a solution of 1,1,1,2,2,3,3,4,4,5,5,6,6-tridecafluoro-8-iodooctane (1.0 mL) in dry THF (16.0 mL) was added. The solution was allowed to warm to room temperature overnight and then poured into ice-cold water (20 mL). The aqueous phase was extracted with ether (3×20 mL). The combined organic phase was dried over Na_2SO_4 , filtered, and concentrated in vacuo to give a yellow solid. Recrystallization from MeOH afforded a pale white solid (50% yield). Physical data: mp = $113\text{--}115^{\circ}\text{C}$; ^1H NMR (300 MHz, CDCl_3) δ : 8.6 (d, 2H, ArH), 8.3 (s, 2H, ArH), d 7.2 (d, 2H, ArH), 2.8 (t, 4H, CH_2CF_2), 2.1–2.0 (m, 8H, $\text{CH}_2\text{CH}_2\text{CH}_2\text{CF}_2$) ppm; ^{13}C NMR (75.5 MHz, CDCl_3) δ : 156.3, 150.6, 149.4, 123.8, and 121.1 (aromatic C), 34.6 ($\text{CH}_2\text{CH}_2\text{CH}_2\text{CF}_2$), 30.4 (t, $J_{\text{F-C}} = 22$ Hz, CH_2CF_2), 21.0 ($\text{CH}_2\text{CH}_2\text{CF}_2$) ppm. Electrospray ionization mass spectroscopy (ESI MS) m/z calculated for $\text{C}_{28}\text{H}_{18}\text{F}_{26}\text{N}_2$ $[\text{M} + \text{H}]^+$: 877.1; observed: 877.0.
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